

Customer Links

wiener borse.at



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CHECKLIST FOR THE MEMBERSHIP APPLICATION TO WIENER BÖRSE AG

NECESSARY STEPS FOR ADMISSION TO WBAG MEMBERSHIP

Documents to be submitted (1-5) and contracts to be signed* (6-13) for participation in:			CASH MARKET	DERIVATIVE MARKET
			Trading in stocks and bonds etc. on the XETRA®-System	Trading in options and financial futures contracts on the EUREX®-System
Contracts – Mandatory	1.	Notification (EU-Pass) for applicants having their registered seat outside Austria but within the EU	X	X
	2.	Proof of the license(s) if the establishment of the applicant or the carrying on of its business activities is subject to approval by the State	X	X
	3.	Excerpt from the company register and/or commercial register <small>(Austria: copy / EU-countries: excerpt certified by a notary)</small>	X	X
	4.	Latest financial statements and/or annual report	X	X
	5.	List of all authorized signatures of the applicant	X	X
	6.	Membership Agreement between the applicant and Wiener Börse AG <small>(in duplicate)</small>	X	X
	7.	Signed general terms and conditions of business of Wiener Börse AG	X	X
	8.	Signed schedule of fees of Wiener Börse AG	X	X

	9.	Declaration of Membership to the Trading System XETRA®	X	
	10.	Declaration of Membership to the Trading System EUREX®		X
	11.	Clearing-Agreement with CCP.A (confirmation will be served by CCP.A) LINK: http://www.wienerboerse.at/mmdb/15/3/3490.pdf	X	X
	12.	XETRA® Software License and Connection Agreement <u>or</u> Brokernet Agreement (Internet) <i>(two agreements signed)</i>	X	
	13.	EUREX® Software License and Connection Agreement <u>or</u> Brokernet Agreement (Internet) <i>(two agreements signed)</i>		X
Forms – Trading – Mandatory	1.	Application for the Admission as a Trader and Power of Attorney for Exchange Dealings (for each trader an application)	X	X
	2.	User-Registration for Trading with Securities in XETRA®/ EUREX® User Registration	X	X
	3.	Appointment of Member-Supervisor for Trading in XETRA®/ EUREX® (at least two persons for each member)	X	X
Optional	4.	User- Authorization for Trading with Securities in XETRA® through Brokernet	X	

	5.	Application for the Admission as a Trader Assistant for the Securities Exchange (for each trader an application)	X	
	6.	Application for the Approval of an Automated order entry System in Terms of an <u>Algorithmic Trading Engine</u> and names of the responsible exchange traders for Trading in XETRA®/EUREX®	X	X
	7.	Application for the Approval of an <u>Order Routing System</u> and Names of the responsible exchange traders for Trading in XETRA®/EUREX®	X	X
	8.	Application for the Approval of an Automated Order Entry System in Terms of <u>Quote Machine and/or Electronic Eye</u> and/or a combination thereof and Names of the responsible exchange traders for Trading in XETRA®/EUREX®	X	X
Forms -Technical Specifications	1.	XETRA® Vienna Connectivity Form Order/Cancellation/ EUREX® Vienna Connectivity Form Order/Cancellation	X	X
	2.	XETRA®/ EUREX® -System Configuration	X	X